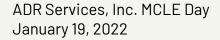


# COMPETENCE It's More Than Knowing the Law



Steve Block, Esq.







#### Competence

IN ADDITION TO LEARNING AND SKILL, COMPETENCE REQUIRES:

"MENTAL, EMOTIONAL, AND PHYSICAL ABILITY REASONABLY NECESSARY FOR THE PERFORMANCE" OF LEGAL SERVICES.



#### **We Will Discuss**

1

THE MEANING AND IMPORTANCE
OF THOSE TERMS IN THE PRACTICE
OF LAW

2

RESOURCES FOR HELP WHEN MENTAL, EMOTIONAL, OR PHYSICAL ABILITIES ARE IMPAIRED.

### RULES OF PROFESSIONAL CONDUCT



Rule 1.1 Competence

(a) A lawyer shall not intentionally, recklessly, with gross negligence, or repeatedly fail to perform legal services with competence.



# RULES OF PROFESSIONAL CONDUCT

Rule 1.1 Competence

(b) For purposes of this rule, "competence" in any legal service shall mean to apply the (i) learning and skill, and (ii) mental, emotional, and physical ability reasonably necessary for the performance of such service



## COMMON CAUSES OF LAWYER INCOMPETENCE



Mental Health Issues



**Alcohol Abuse** 



**Drug Abuse** 



# MENTAL HEALTH, ALCOHOL AND DRUG ABUSE



#### Mental Issues

- Stress
- Anxiety/fear
- Depression
- Financial problems

Alcohol and Drug Abuse



THE PRACTICE OF LAW IS STRESSFUL AND OFTEN CAUSES ANXIETY, **DEPRESSION AND** SUBSTANCE ABUSE



#### **COMMON STRESSORS IN** THE PRACTICE OF LAW

Level of Responsibility

Rigid Deadlines

Competitive Atmosphere -Inability to Process Losses

Financial Stress and

Risks

Feelings of Inadequacy

The Excessive

Demands of Clients





**HOW EXTENSIVE ARE MENTAL HEALTH** PROBLEMS, AND **DRUG AND ALCOHOL ABUSE IN THE LEGAL** PROFESSION?



**32**%

OF ATTORNEYS AGE 30 AND UNDER ARE "PROBLEM DRINKERS"

28%

OF LAWYERS WITH 10 YEARS OF EXPERIENCE OR LESS HAD THE HIGHEST RATE OF PROBLEMS WITH ALCOHOL.

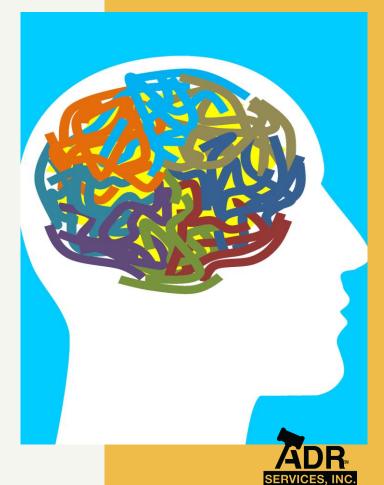
25%

OF MEN AND 15.5% OF WOMEN IN THE HAZELDEN BETTY FORD STUDY



# GOOD PHYSICAL HEALTH DOES NOT GUARANTEE ONE'S COMPETENCE

AS IT RELATES TO COMPETENCE, THE MOST REVEALING FACTOR IS THE STATE OF YOUR WELL-BEING



#### **WELL-BEING**

Assessing your well-being may identify issues that increase the risks for incompetence



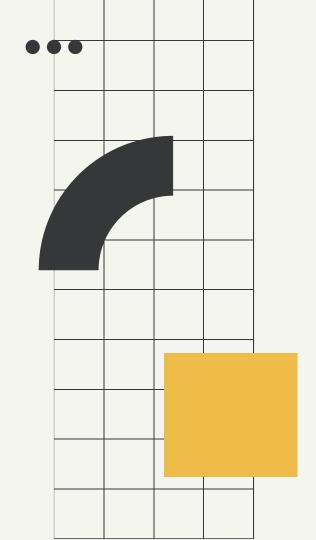
# WHAT ARE THE PHYSICAL AND BEHAVIORAL WARNING SIGNS OF MENTAL HEALTH PROBLEMS, ALCOHOL AND SUBSTANCE ABUSE?



Physical signs and symptoms

Behavioral signs and symptoms





WHAT ARE THE DUTIES OF A LAWYER WHO IS INCOMPETENT OR HAS COLLEAGUES WHO BECOME INCOMPETENT IN THE PRACTICE OF LAW?



# DUTY TO WITHDRAW UPON BECOMING INCOMPETENT



RULE 1.16(A)(3) AN ATTORNEY SHALL WITHDRAW

"if the lawyer's mental or physical condition renders it unreasonably DIFFICULT to carry out the representation effectively"

COMPARE RULE 1.16(B)(8)

[An attorney MAY withdraw]



THE LAWYER'S RESPONSIBILITIES WHEN AN INCOMPETENT PARTNER, SHAREHOLDER OR EMPLOYEE VIOLATE THE RULES OF PROFESSIONS FOR CONDUCT

IS THERE A DUTY TO TAKE ACTION?

No, unless...





The lawyer has managerial authority

Direct supervisorial authority Or Directly supervises the offender (impaired lawyer)







# LEGAL MALPRACTICE AND INCOMPETENCE



?

WILL THE STATE BAR
CONSIDER PERSONAL AND
EMOTIONAL PROBLEMS IN
MITIGATION OF FINDINGS OF
INCOMPETENCE?





#### •••

#### DO I NEED HELP?



# ANSWER THE "TWENTY QUESTIONS" FROM THE LAWYER'S ASSISTANCE PROGRAM (LAP), STATE BAR OF CALIFORNIA

https://www.calbar.ca.gov/portals/0/documents/lap/LAP\_20-Questions-Test.pdf



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### The State Bar of California Lawyer Assistance Program (LAP)

877-LAP 4 HELP (877-527-4435)
THE TWENTY QUESTIONS
Of Alcohol/Drug Abuse



#### **Other Resources**



Other Bar (800)-222-0767



Judicial Officers
Assistance Program
(800)-327-0422



Hazelden Betty Ford Foundation (855) 995-3642





#### ADR Thank You

Keep In Touch!



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